

1.1.5 Notice of Commission Approval – Amendment to MFDA Rule 1.2.2(a) Regarding Branch Managers

**THE MUTUAL FUND DEALERS ASSOCIATION (MFDA)
NOTICE OF COMMISSION APPROVAL
AMENDMENT TO MFDA RULE 1.2.2(A)
REGARDING BRANCH MANAGERS**

The Ontario Securities Commission approved amendment to MFDA Rule 1.2.2(a) regarding Branch Managers. In addition, the Alberta Securities Commission, Nova Scotia Securities Commission and Saskatchewan Financial Services Commission approved and the British Columbia Securities Commission did not object to the amendment. MFDA Rule 1.2.2(a) prescribes proficiency requirements for individuals designated by a MFDA member as a branch manager or an alternate branch manager pursuant to another MFDA Rule. The amendment corrects an incorrect cross reference to the MFDA Rule that deals with designation of branch managers and alternate branch managers. The amendment is housekeeping in nature. The description and a copy of the amendment is contained in Chapter 13 of this Ontario Securities Commission Bulletin.